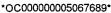






## **FORMALITIES LETTER**







#### UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: COMMISSIONER OF PATENT AND TRADEMARKS Washington, D.C. 20231

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/505,211

02/16/2000

Mark J. Buxton

042390.P7983

**BLAKELY SOKOLOFF TAYLOR & ZAFMAN, LLP** 12400 Wilshire Boulevard 7th Floor Los Angeles, CA 90025



Date Mailed: 04/21/2000

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

# FILED UNDER 37 CFR 1.53(b)

# Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however. are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- · The oath or declaration is unsigned.
- . To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- Late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 was not received.
- The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

stomer Service/Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/19/2000 GTEFFERA 00000062 09505211

01 FC:105

130.00 OP









Group Art Unit:

Attorney Docket No.: 042390.P7983

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

in re the Application of

MARK J. BUXTON

Application No.: 09/505,211

Filed:

February 16, 2000

For:

METHOD AND SYSTEM FOR PROVIDING

CONTENT-SPECIFIC CONDITIONAL ACCESS TO

**DIGITAL CONTENT** 

Assistant Commissioner for Patents Attention: Box Missing Parts Washington, D.C. 20231

### RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Sir:

In response to the Notice to File Missing Parts of Application mailed <u>April 21, 2000</u>, please find enclosed:

- (1) a duly executed Declaration and Power of Attorney with respect to the above-referenced patent application;
  - (2) a check in the amount of \$130.00 in payment of the surcharge of 37 CFR § 1.16(e);
  - (3) a copy of the Notice to File Missing Parts of Application

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplication of the Fee Transmittal is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated:

May 9, 2000

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025 Telephone: (503) 684-6200 Robert A. Diehl, Reg. No. 40,992

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Attention: Box Missing Parts,

Washington, D.C. 20231 on May 9, 2000.

05/09/00

Mark W. Baugher

Date

Please type a plus sign (+) inside this box

MAY 1 6 2000

PTO/SB/17 (6/99)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

FEE TRANSMIT

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R §§ 1.27 and 1.28.

**TOTAL AMOUNT OF PAYMENT** 

Signature

(\$) 130.00

Under the Paperwork Reduction Act of 1995, n

sees are regulated to respond to a collection of information unless it displays a valid OMB control number							
	Complete if Known						
Application Number		09/505,211					
	Filing Date	February 16, 2000					
	First Named Inventor	Mark J. Buxton					
	Examiner Name						
	Group/Art Unit						
	Attorney Docket Number	042390.P7983					

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)				
The Commissioner is hereby authorized to charge	3. ADDITIONAL FEE				
indicated fees to:	Large Entity	Small	I Entity	у	
2. The Commissioner is hereby authorized to credit any over payments to:	Fee Fee	Fee	Fee	Fee Description	Fee Paid
Deposit Account 02-2666	Code (\$)	Code	,		
Number U2-2000	105 130 127 50	205 227		Surcharge - late filing fee or oath Surcharge - late provisional filing fee or	130.00
Deposit Account Rightaly Sokoloff Taylor & Zafman LLD	127 30	221	20	cover sheet.	
Account Name Blakely, Sokoloff, Taylor & Zafman LLP	139 130	139	130	Non-English specification	
Charge Any Additional Fees Required Under 37 CFR §§ 1.16,1.17, 1.18 and 1.20.	147 2,520			For filing a request for reexamination	
	112 920*	112	920	*Requesting publication of SIR prior to Examiner action	
2. A Payment Enclosed:	113 1,840*	113	1,840	*Requesting publication of SIR after	
Check Money Other				Examiner action	
	115 110	215		Extension for response within first month	
FEE CALCULATION	116 380	216		Extension for response within second month	
1. BASIC FILING FEE	117 870	217		Extension for response within third month	
Large Entity Small Entity  Fee Fee Fee Fee Description Fee Paid	118 1,210	218		Extension for response within fourth month	
Code (\$) Code (\$)	128 1,850	228		Extension for response within fifth month	
101 690 201 345 Utility filing fee	119 300	219		Notice of Appeal	
106 310 206 155 Design filing fee	120 300	220		Filing a brief in support of an appeal	
107 480 207 240 Plant filing fee	121 260	221		Request for oral hearing	
108 690 208 345 Reissue filing fee	138 1,510 140 110	138 240		Petition to institute a public use proceeding Petition to revive - unavoidable	
114 150 214 75 Provisional filing fee	140 110	241		Petition to revive - unintentional	
SUBTOTAL (1) (\$)	142 1,210	242		Utility issue fee (or reissue)	
2. EXTRA CLAIM FEES Fxtra Fee from	143 430	243		Design issue fee	
2. EXTRA CLAIM FEES Extra Fee from Claims below Fee Paid	144 580	244		Plant issue fee	
Total Claims X =	122 130	122		Petitions to the Commissioner	
Independent	123 50	123		Petitions related to provisional applications	
Multiple Dependent =	126 240	126		Submission of Information Disclosure Stmt	
**or number previously paid, if greater, For Reissues, see below	581 40	581		Recording each patent assignment per	
Large Entity Small Entity				property (times number of properties)	
Fee Fee Fee Fee Description	146 790	246		Filing a submission after final rejection	
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	149 790	249		(37 CFR 1.129(a)) For each additional invention to be	<b></b>
102 78 202 39 Independent claims in excess of 3	'''	0	555	examined (37 CFR 1.129(b))	
104 260 204 130 Multiple Dependent claim, if not paid	Other fee (spe				
109 78 209 39 **Reissue independent claims	Other fee (spe	ecify)			
over original patent	,				
110 18 210 9 **Reissue claims in excess of 20 and over original patent					
SUBTOTAL (2) (\$)	* Reduced by	Basic F	Filing F	ee Paid SUBTOTAL (3) (\$)	130.00
(V)					150.00
SUBMITTED BY				Complete (if applica	able)
Typed or Printed Name Robert A. Diehl				Reg. Number 40,99	2
Signature		Ι		Deposit Account 00	2666

Date

05/09/00

User ID

02-2666